



500.40766X00

2621
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroo OKAMOTO et al.
Serial No.: 09/982,291
Filed: October 19, 2001
Title: DIGITAL INFORMATION RECORDING APPARATUS,
REPRODUCING APPARATUS AND TRANSMITTING
APPARATUS
Group: 2621
Examiner: TOPGYAL, Gelek W.
Confirmation No.: 7854

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

April 18, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Japanese Office Action, issued in a counterpart Japanese patent application, and copies of the reference cited therein. Also enclosed are Forms PTO/SB/08A&B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. Accordingly, the fee of \$180.00 is enclosed herewith.

04/19/2007 MAHMED1 00000058 09982291

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180.00 DP

The following Statement is made under 37 C.F.R. §1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.


To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English language Abstract.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 C.F.R. §1.97 and §1.98 have been satisfied, in connection with the present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, during examination of the above-identified application, is respectfully requested.

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 500.40766X00).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP.


Leonid D. Thenor
Registration No. 39,397

LDT/vvr

Dated: April 18, 2007



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2		Complete if Known	
		Application Number	09/982,291
		Filing Date	10/19/2001
		First Named Inventor	Hiroo OKAMOTO
		Art Unit	2621
		Examiner Name	TOPGYAL, Gelek W.
		Attorney Docket Number	500.40766X00

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-				
		US-				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ —Number ⁴ —Kind Code ⁵ (if known)				
		JP 10-162559	06-19-1998	YAMAHA CORP.		
		JP 2000-149417	05-30-2000	MATSUSHITA ELECTRIC IND CO LTD		
		JP 9-055025	02-25-1997	SONY CORP		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

Complete if Known

(use as many sheets as necessary)

Sheet

2

of

2

Application Number

09/982.291

Filing Date

10/19/2001

First Named Inventor

Hiroo OKAMOTO

Group Art Unit

2651

Examiner Name

TOPGYAL, Gelek W.

Attorney Docket Number

40766X00

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date _____

Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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